

We Will

Create Your Business Plan

*Tailored Business Plans for Regulated
and Non-Regulated Businesses*



At Compliance Consultant, we offer a comprehensive service for crafting business plans that meet both regulatory and operational requirements. Whether your business is regulated by the Financial Conduct Authority (FCA), Solicitors Regulation Authority, Institute of Chartered Accountants in England and Wales, or none at all, our bespoke plans are designed to provide a clear, strategic roadmap for success, ensuring that every aspect of your business is properly structured and compliant.

Our service includes a thorough and detailed business plan, with sections dedicated to key areas such as business strategy, customer journey mapping, data security, risk management, and compliance with relevant regulations. For FCA-regulated firms, we specifically focus on demonstrating how your business will meet the stringent requirements set forth by the regulator, including compliance with the Consumer Duty, Treating Customers Fairly (TCF), and robust governance structures.

We work closely with you to understand your business's unique needs, creating a plan that not only supports growth and operational success but also aligns with best practices in risk management and regulatory compliance. The business plan we deliver is not just a document—it's a dynamic tool to guide your business towards long-term sustainability and regulatory assurance.

"If you are applying for FCA/PRA Authorisation, we will provide a 50% discount on the costs of creating your business plan, off the Authorisation Application if we conduct that for you"

Lee Werrell Chartered FCSI, Founder

**The UK's Leading Financial Services Regulatory
Compliance & FCA Authorisation Consultancy**

Making Compliance Work!

Compliance Consultants, London | Your Compliance Specialists



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Why Choose **Compliance Consultant** to Create Your Business Plan?

1. **Expertise in Regulatory Compliance** We specialise in FCA regulatory compliance, probably the pinnacle of regulated business plan requirements, offering deep knowledge of the specific rules and regulations that affect regulated businesses. Whether you're applying for FCA authorisation or ensuring compliance with Consumer Duty and Treating Customers Fairly, we ensure your business plan meets all regulatory requirements with precision and clarity.
2. **Tailored, Bespoke Service** Every business is unique, and so is our approach. We take the time to understand your goals, operations, and challenges, delivering a business plan specifically tailored to your firm's needs. Our comprehensive plans reflect both your business strategy and the necessary compliance requirements, providing you with a blueprint that supports your growth ambitions.
3. **Comprehensive Coverage** Our business plans cover every critical area of your business, from governance and risk management to customer journey mapping and data security. We ensure that all aspects of your operations are aligned with best practices and regulatory standards, giving you peace of mind that your plan is as thorough and robust as possible.
4. **Strategic Growth Focus** A business plan should be more than just a document—it should drive your business forward. We design our plans with a focus on strategic growth and long-term sustainability. By aligning your regulatory compliance with your business goals, we create a plan that supports both operational success and business expansion.
5. **Proven Track Record** With over two decades of experience helping businesses navigate the regulatory landscape, we have a proven track record of successfully creating business plans for firms of all sizes and industries. Whether you are a startup or an

established business, our expertise ensures your plan is built to succeed.

6. **Ongoing Support and Guidance** We don't just create a business plan and leave you to it. Our team provides continuous support and guidance, helping you implement your plan and adapt it as your business evolves. As regulations change, we ensure your business remains compliant, and we assist with the necessary adjustments to keep you on track.
7. **Peace of Mind** With our expertise in compliance and strategic business planning, you can rest assured that your business plan is in safe hands. We make sure you have everything you need to approach the future with confidence, knowing your plan aligns with regulatory expectations and positions your business for success.

Choose *Compliance Consultant* and let us create a business plan that not only meets regulatory standards but also provides rich depth and all you need to accelerate your business growth.



Why Compliance Consultant?

Our Services to Financial Services Firms since 2000

- 1. FCA Authorisation Application Support: Streamline VOPs and related processes.**
- 2. Tailored Compliance Advisory Services:**
 - 🕒 Risk Evaluation
 - 🕒 Strategic Business Expansion
 - 🕒 Rigorous Governance Analysis
 - 🕒 Detailed File Audits
 - 🕒 Wind Down Planning
 - 🕒 Bespoke Technical Support
 - 🕒 Focused Training Programs
 - 🕒 Annual Reporting (RegData)
 - 🕒 Oversight of Financial Promotions
 - 🕒 Strategies for Vulnerable Persons & Consumer Duty
- 3. Continuous Compliance Monitoring: Align with FCA's vigilant oversight.**
- 4. Dynamic Regulatory Change Management: Stay at the forefront of compliance.**
- 5. In-Depth Compliance Training and Education: Build a culture of compliance.**
- 6. Proactive Risk Assessment & Management: Identify and curb regulatory risks, particularly in consumer credit activities.**

Why Choose Us?

Our tailored solutions have helped numerous firms achieve regulatory excellence, ensuring they remain compliant while focusing on business growth. Clients have praised our fast response times, in-depth knowledge, and proactive support.

Ask about our £9,999+ bonuses for new clients - Our Latest Offer

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