

Non-Disclosure/ Confidentiality Agreement



COMPLIANCE
CONSULTANT

Confidentiality/Non-Disclosure Agreement

It is understood and agreed to that the below identified discloser of confidential information (Client named below) may provide certain information that is and must be kept confidential. To ensure the protection of such information, and to preserve any confidentiality necessary under patent and/or trade secret laws, it is agreed that;

1. The Confidential Information to be disclosed can be described as and includes:
Invention description(s), technical and business information relating to proprietary ideas and inventions, ideas, patentable ideas, trade secrets, drawings and/or illustrations, patent searches, existing and/or contemplated products and services, research and development, production, costs, profit and margin information, finances and financial projections, customers, clients, marketing, and current or future business plans and models, internal policy documents and manuals, regardless of whether such information is designated as "Confidential Information" at the time of its disclosure.
2. The Recipient (Compliance Consultant) or their employees, contractors or agents agree not to disclose the confidential information obtained from the discloser to anyone unless required to do so by law.
3. This Agreement states the entire agreement between the parties concerning the disclosure of Confidential Information. Any addition or modification to this Agreement must be made in writing and signed by the parties.
4. All and any documents pertaining to the application that are not available in the public domain will be destroyed at the latest after acceptance/denial by the FCA/PRA (as applicable)
5. If any of the provisions of this Agreement are found to be unenforceable, the remainder shall be enforced as fully as possible and the unenforceable provision(s) shall be deemed modified to the limited extent required to permit enforcement of the 'Agreement' as a whole.

WHEREFORE, the parties acknowledge that they have read and understand this Agreement and voluntarily accept the duties and obligations set forth herein. This document is only "in-force" when shared with both parties.

Recipient of Confidential Information: On behalf of Compliance Consultant — trading style of UK Compliance Consultant Limited.

Name (Print or Type): Lee Werrell

Discloser/Recipient of Information Name (Print or Type):

Signature



Signature:

Date: